# Parallel Pretraining for Large Language Models

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Guest Lecture at CS 6501 UVA

## Outline

## Optimization

- Optimization Basics
- Numerical Types

### **Parallel Training**

- Data Parallelism
- Pipeline Parallelism
- Tensor Parallelism
- Combination of Parallelism
- ZeRO Optimizer

## Optimization: Recap of Stochastic Gradient Descent

In deep learning, mini-batch learning is the norm and Stochastic Gradient Descent (SGD) is the basis optimizer

$$g_t = \nabla_{\theta} f_t(\theta_{t-1})$$

Gradient at step t of loss function 
$$f()$$

$$\theta_t = \theta_{t-1} - \alpha g_t$$

Updating with step size  $\alpha$ 

Compared to classic convex optimization:

- Each step only uses a small sub sample of data: stochastic sampling
- Non-convex optimization has many local optimal with different effectiveness

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Gradient at step t of loss function f()

Updating with step size  $\alpha$ 

Challenge: How to select the right step size?

- Different parameters have different behaviors:
  - norm, sensitivity, influence to optimization process, etc.
  - thus have different preferences on step size
- No way to manually tune step size per parameter
  - Millions or billions of hyperparameters to tune

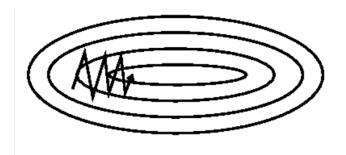


Figure 1: SGD on two parameter loss contours [1]

In deep learning, mini-batch learning is the norm and Stochastic Gradient Descent (SGD) is the basis optimizer

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Gradient at step t of loss function f()

Updating with step size  $\alpha$ 

Challenge: How to select the right step size?

→Solution: Dynamic learning rate per parameter

Adaptive gradient methods (AdaGrad [2])

$$\theta_t = \theta_{t-1} - \frac{\alpha g_t}{\sqrt{\sum_{i=1}^t g_i^2}}$$

Reweight per parameter step size by its accumulated past norm

In deep learning, mini-batch learning is the norm and Stochastic Gradient Descent (SGD) is the basis optimizer

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Reweight per parameter step size by its accumulated past norm

- The more a parameter has been updated previously  $\sqrt{\sum_{i=1}^t g_i^2} \uparrow$ , the less its step size
- Sparse features with fewer past gradients  $\sqrt{\sum_{i=1}^t g_i^2} \downarrow$  get boosted

In deep learning, mini-batch learning is the norm and Stochastic Gradient Descent (SGD) is the basis optimizer

$$g_t = \nabla_{\theta} f_t(\theta_{t-1})$$

$$\theta_t = \theta_{t-1} - \alpha \underline{g_t}$$

Challenge: Local updates

- Only uses information from current mini-batch
  - Can easily stuck in local optima

Gradient at step t of loss function f()

Updating with step size  $\alpha$ 

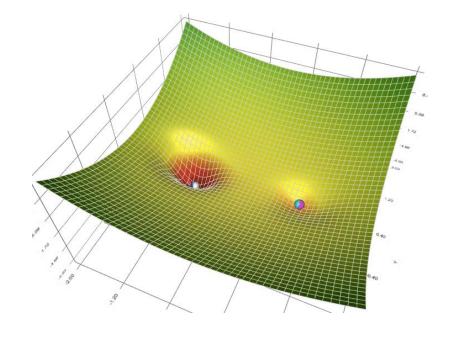


Figure 2: Optimization with Local Optima [3]

In deep learning, mini-batch learning is the norm and Stochastic Gradient Descent (SGD) is the basis optimizer

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Gradient at step t of loss function f()

Updating with step size  $\alpha$ 

Challenge: Local updates

→ Solution: Momentum [4]

$$m_t = \beta_1 m_{t-1} + (1 - \beta_1) \nabla_{\theta} f_t(\theta_{t-1})$$

$$\theta_t = \theta_{t-1} - \alpha m_t$$

Momentum of Gradient

Updating with gradient momentum

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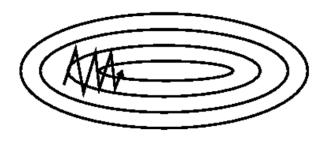
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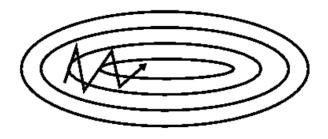
$$\theta_t = \theta_{t-1} - \alpha m_t$$

Momentum of Gradient

Updating with gradient momentum



(a) SGD without momentum



(b) SGD with momentum

Figure 3: SGD with and without Momentum [1]

#### Adam: Adaptive Moment Estimation [4]

```
Require: \alpha: Stepsize
Require: \beta_1, \beta_2 \in [0, 1): Exponential decay rates for the moment estimates
Require: f(\theta): Stochastic objective function with parameters \theta
Require: \theta_0: Initial parameter vector
   m_0 \leftarrow 0 (Initialize 1st moment vector)
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  t \leftarrow 0 (Initialize timestep)
   while \theta_t not converged do
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      g_t \leftarrow \nabla_{\theta} f_t(\theta_{t-1}) (Get gradients w.r.t. stochastic objective at timestep t)
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   return \theta_t (Resulting parameters)
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                                                                                                                      Correct momentum bias
      \widehat{v}_t \leftarrow v_t/(1-\beta_2^t) (Compute bias-corrected second raw moment estimate)
       \theta_t \leftarrow \theta_{t-1} - \alpha \cdot \widehat{m}_t / (\sqrt{\widehat{v}_t} + \epsilon) (Update parameters)
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```

**Algorithm 1:** Adam, our proposed algorithm for stochastic optimization. See section 2 for details,

#### Adam: Adaptive Moment Estimation [4]

```
and for a slightly more efficient (but less clear) order of computation. g_t^2 indicates the elementwise
square g_t \odot g_t. Good default settings for the tested machine learning problems are \alpha = 0.001,
\beta_1 = 0.9, \, \beta_2 = 0.999 and \epsilon = 10^{-8}. All operations on vectors are element-wise. With \beta_1^t and \beta_2^t
we denote \beta_1 and \beta_2 to the power t.
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   end while
                                              > Dynamic per-parameter step size by 2<sup>nd</sup> order momentum
   return \theta_t (Resulting parameters)
```

**Update by 1st order momentum** 

## Optimization: Illustrations

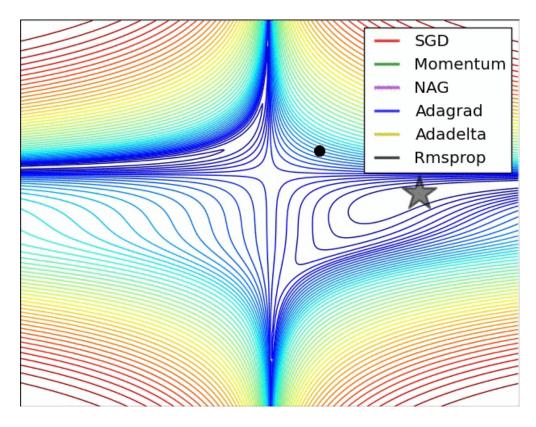


Figure 4: SGD optimization on loss surface contours [1]

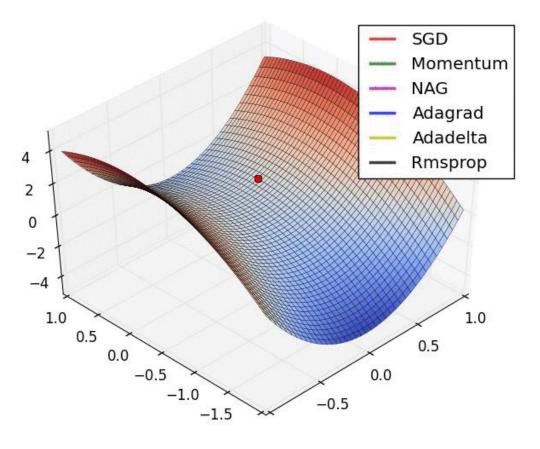


Figure 5: SGD optimization on saddle point [1]

## Optimization: Extensions of Adams

Adam is the go-to optimizer for deep learning now

- Combines two effective idea: momentum and dynamic learning rates
- Works very well in a large range of network work architectures and tasks
- Many of LLMs are pretrained using Adam or its extensions. (Almost all common ones.)

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#### **Notable Extensions:**

- Reducing the memory footprint of momentum states:
  - AdaFactor
  - 8-Bit Adam
- Better warmup optimizer stage:
  - RAdam
- More information in dynamic learning rate:
  - AdamSAGE (Sensitivity)
  - Sophia (2<sup>nd</sup> order optimizer approximation)

## Outline

## Optimization

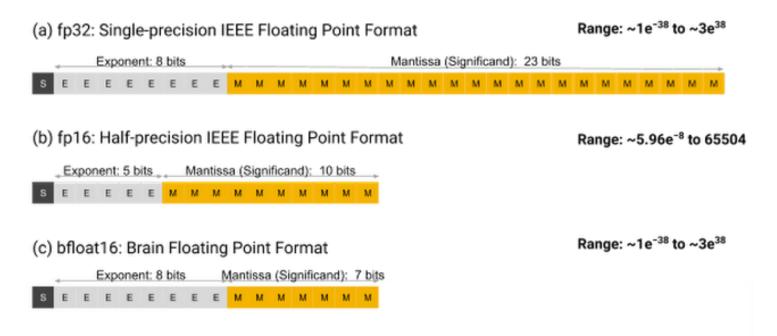
- Optimization Basics
- Numerical Types

### **Parallel Training**

- Data Parallelism
- Pipeline Parallelism
- Tensor Parallelism
- Combination of Combination
- ZeRO Optimizer

## Numerical Types: Basic Types

Floating point formats supported by acceleration hardware



- BF16 is supported on TPU before GPU (2019 of earlier)
- FP32 and FP16 was the only option before A100. BF16 was not supported at hardware level
- BF16 was first supported in GPUs around 2021
- We are moving to 8-bit region now

## Numerical Types: Neural Network Preferences

Neural networks prefer bigger range than better precision

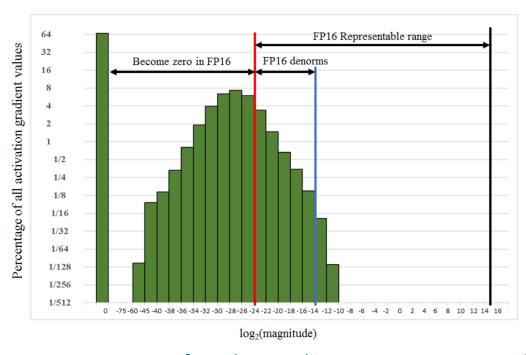


Figure 6: Histogram of gradient values in a FP32 training [6]

Many computation needs bigger range than FP16

## Numerical Types: Mixed Precision Training

Using different numerical types at different part of the training process

- Parameters, activations, and gradients often use FP16
- Optimizer states often needs FP32

Maintaining main copies of FP32 for calculations

Dynamically scaling up loss to fit gradients etc. in FP16 range

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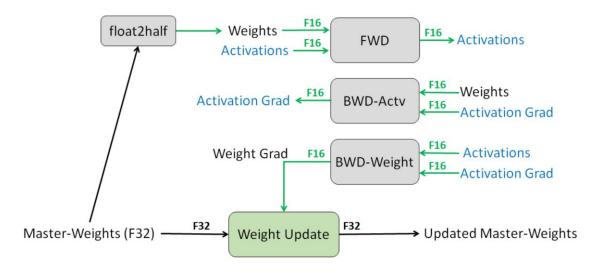


Figure 7: An Example Mixed Precision Training Set up [6]

## Numerical Types: BF16

BF16 is the preferred numerical type on A100 and H100

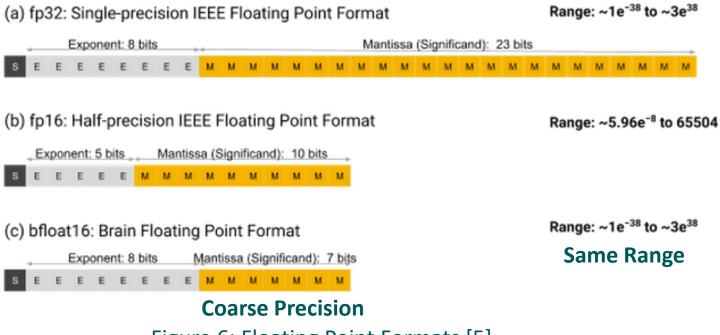


Figure 6: Floating Point Formats [5]

- Same range as FP32: eliminated the needs for mixed precision training while being way more stable
- Coarse precision: mostly fine, only a few places in neural network need more fine-grained precision

## Numerical Types: Quick Recap

- Advancement of hardware (GPUs) provide native support to various numerical types.
  - This is one of the main avenues to get GPU FLOPs improvements, given the static of semi-conductor production
- Numerical types provide a way for model builders to allocate FLOPs at different part of the network and optimization
- Still much a manual work. Automatic compiler etc. not there yet.

## Parallel Training: Overview

As scale grows, training with one GPU is not enough

- There are many ways to improve efficiency on single-GPU training
  - Checkpointing: moving part of the operations to CPU memory
  - Quantizing different part of the optimization to reduce GPU memory cost
- Eventually more FLOPs are needed

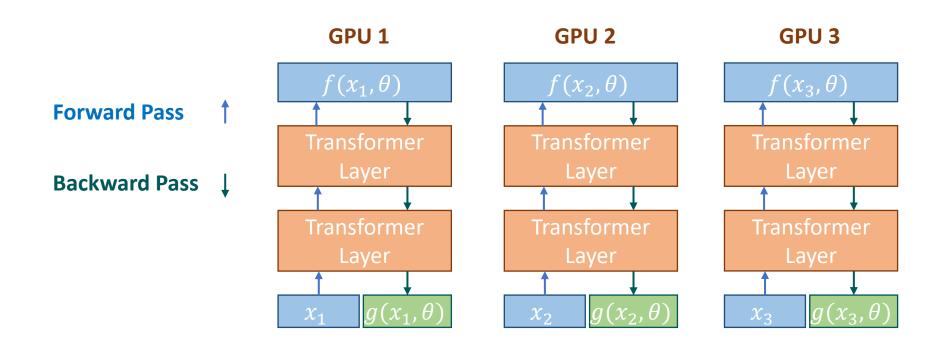
Different setups of parallel training:

- When model training can fit into single-GPU
- →Data parallelism
- When model training cannot fit into single-GPU
- → Model parallelism: pipeline or tensor

## Parallel Training: Data Parallelism

Split training data batch into different GPUs

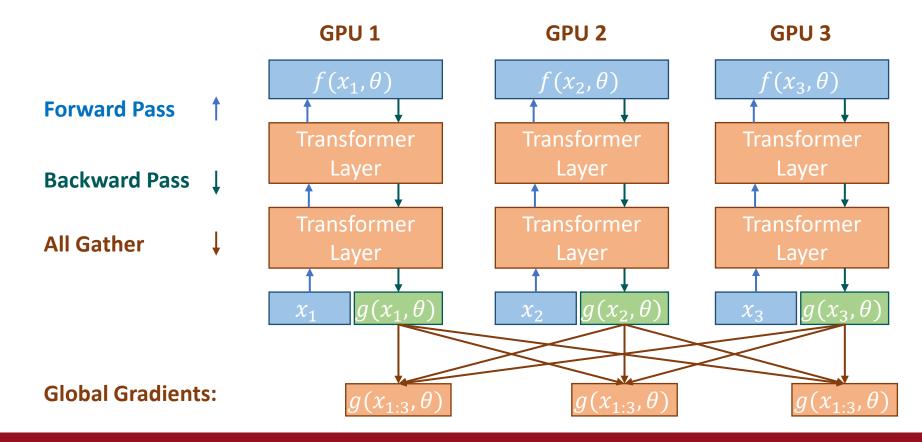
- Each GPU maintains its own copy of model and optimizer
- Each GPU gets a different local data batch, calculates its gradients



## Parallel Training: Data Parallelism

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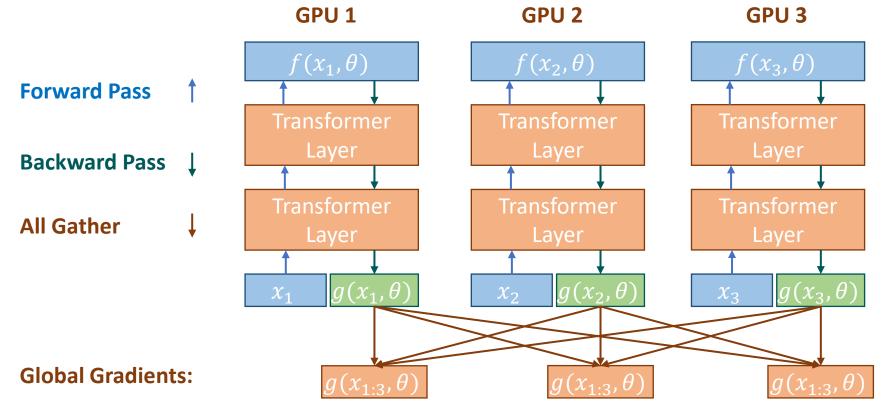
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Split training data batch into different GPUs

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#### **Communication:**

- The full gradient tensor between every pair of GPUs, at each training batch.
- Not an issue between GPUs in the same machine or machines with infinity band
- Will need work around without fast cross-GPU connection

## Parallel Training: Model Parallelism

LLM size grew quickly and passed the limit of single GPU memory

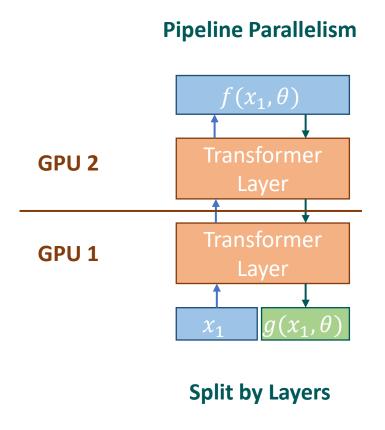
	Cost of 10B Model	Function to parameter count (Ψ)
Parameter Bytes	20GB	2Ψ
<b>Gradient Bytes</b>	20GB	2Ψ
<b>Optimizer State: 1st Order Momentum</b>	20GB	2Ψ
<b>Optimizer State: 2nd Order Momentum</b>	20GB	2Ψ
<b>Total Per Model Instance</b>	80GB	8Ψ

Table 1: Memory Consumption of Training Solely with **BF16** (Ideal case) of a model sized  $\Psi$ 

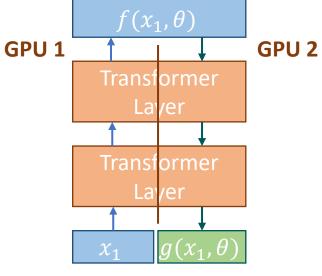
Solution: Split network parameters (thus their gradients and corresponding optimizer states) to different GPUs

## Parallel Training: Model Parallelism

Two ways of splitting network parameters



Tensor Parallelism



**Split Tensors** 

Split network by layers, aligning devices by layer order to a pipeline, and pass data through devices [7]

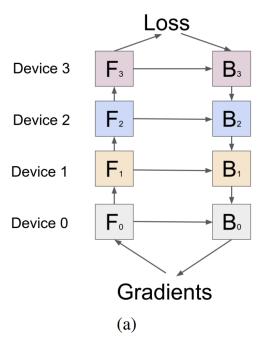


Figure 7: Illustration of Pipeline Parallelism [7]

# GPU 2 GPU 1 $f(x_1, \theta)$ Transformer Layer $x_1$ $g(x_1, \theta)$

**Split by Layers** 

Split network by layers, aligning devices by layer order to a pipeline, and pass data through devices [7]

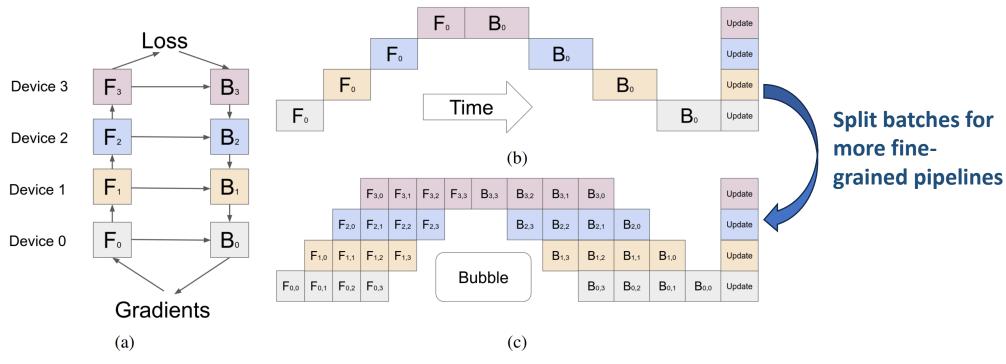


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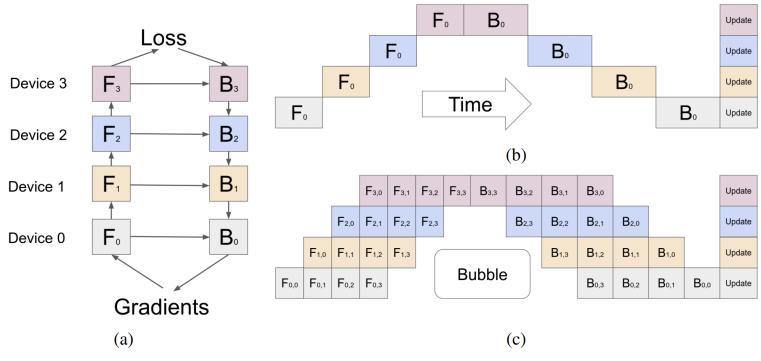
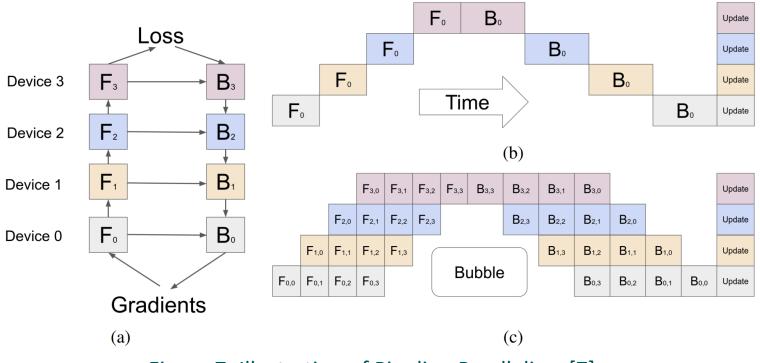


Figure 7: Illustration of Pipeline Parallelism [7]

#### **Communication:**

- Activations between nearby devices in forward pass
- Partial gradients between nearby devices in backward

Split network by layers, aligning devices by layer order to a pipeline, and pass data through devices [7]



#### **Communication:**

- Activations between nearby devices in forward pass
- Partial gradients between nearby devices in backward

Figure 7: Illustration of Pipeline Parallelism [7]

Pros: Conceptually simple and not coupled with network architectures. All networks have multiple layers.

Cons: Waste of compute in the Bubble. Bubble gets bigger with more devices and bigger batches.

## Outline

## **Parallel Training**

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- Tensor Parallelism
- Combination of Parallelism
- ZeRO Optimizer

Split the parameter tensors of network layers into different devices for parallel matrix operations

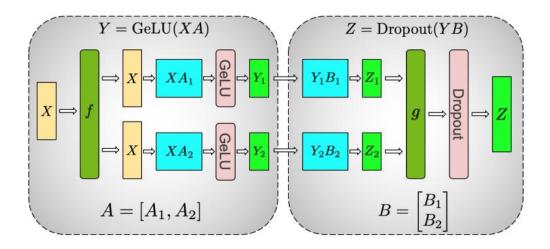
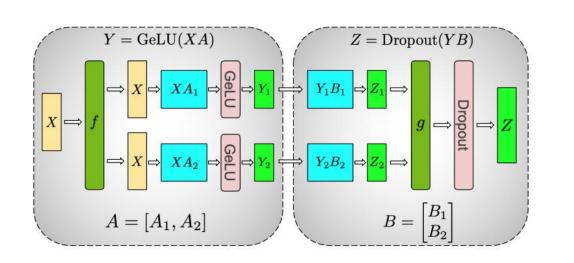


Figure 8: Tensor Parallelism of MLP blocks and Self-attention Blocks [8]

Split the parameter tensors of network layers into different devices for parallel matrix operations



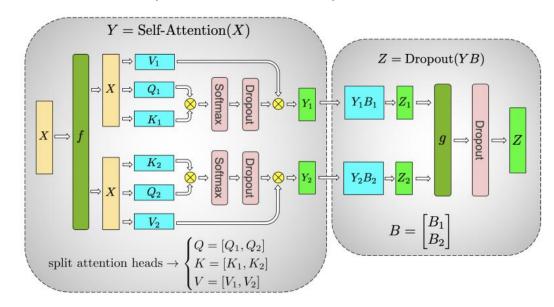
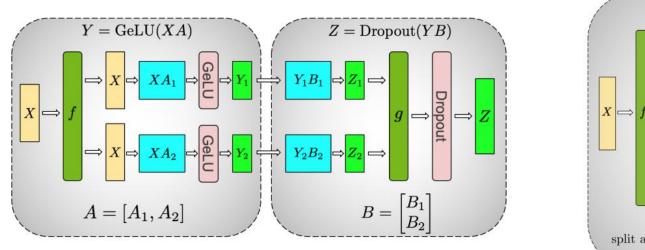


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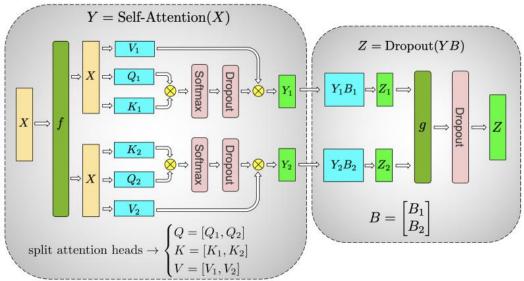


Figure 8: Tensor Parallelism of MLP blocks and Self-attention Blocks [8]

Pros: No bubble

Cons: Different blocks are better split differently, lots of customizations

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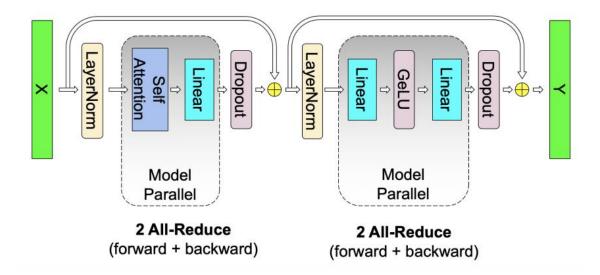


Figure 9: Communication of Tensor Papalism for a Transformer Layer [8]

#### **Communication:**

All-gather of partial activations and gradients for each split tensor

## Parallel Training: Combining Different Parallelism

Often data parallelism and model parallelism are used together.

No need not to use data parallelism

Pipeline Parallelism and Tensor Parallelism can also be used together.

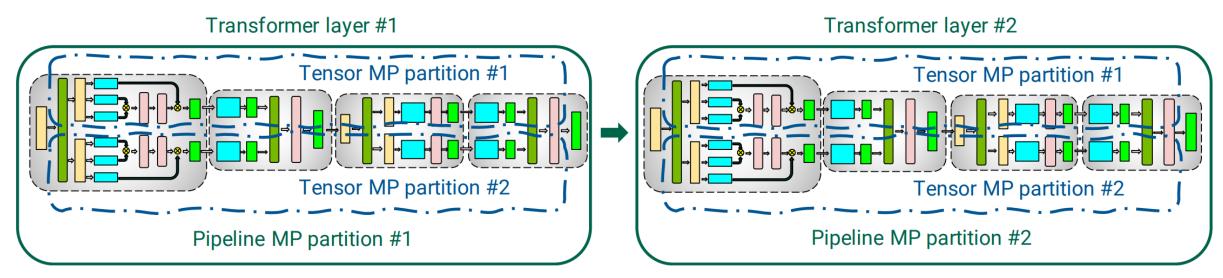


Figure 10: Combination of Tensor Parallelism and Pipeline Parallelism [9]

#### Outline

#### **Parallel Training**

- Data Parallelism
- Pipeline Parallelism
- Tensor Parallelism
- Combination of Combination
- ZeRO Optimizer

Majority of GPU memory consumption is on the optimization side: gradients and optimizer momentums

	Cost of 10B Model	Function to parameter count (Ψ)
Parameter Bytes	20GB	2Ψ
<b>Gradient Bytes</b>	20GB	2Ψ
<b>Optimizer State: 1st Order Momentum</b>	20GB	2Ψ
<b>Optimizer State: 2nd Order Momentum</b>	20GB	2Ψ
<b>Total Per Model Instance</b>	80GB	8Ψ

Table 1: Memory Consumption of Training Solely with BF16 (Ideal case) of a model sized  $\Psi$ 

ZeRO Optimizer: Split GPU memory consumption into multiple GPUs during data parallelism

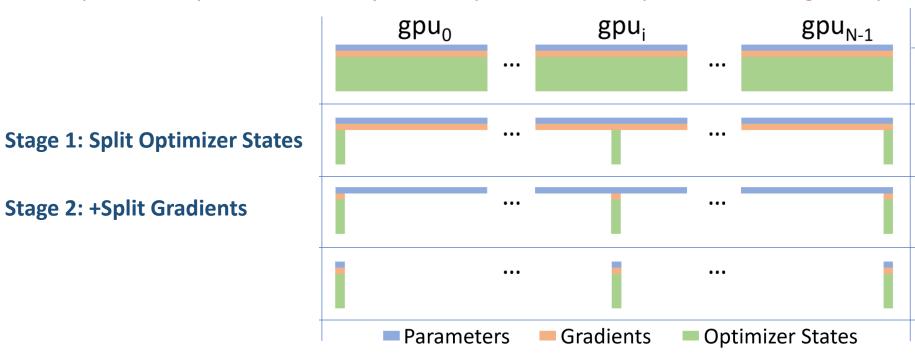
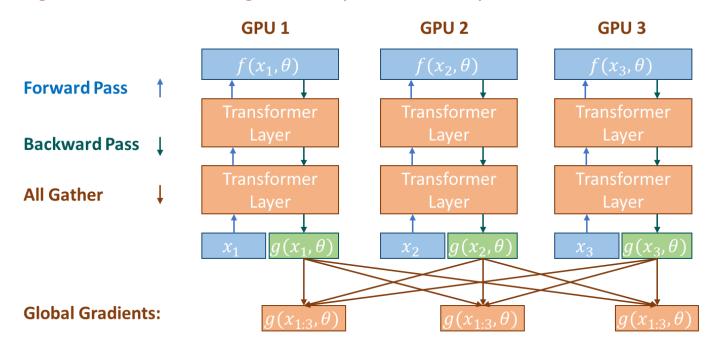


Figure 11: ZeRO Optimizer Stages [10]

**Stage 2: +Split Gradients** 

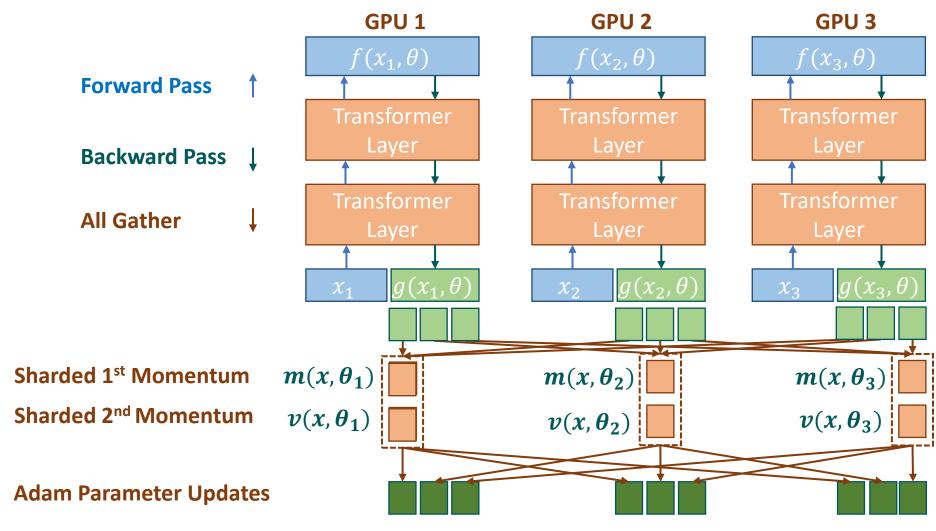
ZeRO Stage 1 and 2: reducing memory redundancy



#### **Observation:**

- In data parallelism, each device only has access to local gradient
- All gather operation required on all gradients anyway

An example way to implement ZeRO Stage 1



ZeRO Optimizer: Split GPU memory consumption into multiple GPUs during data parallelism

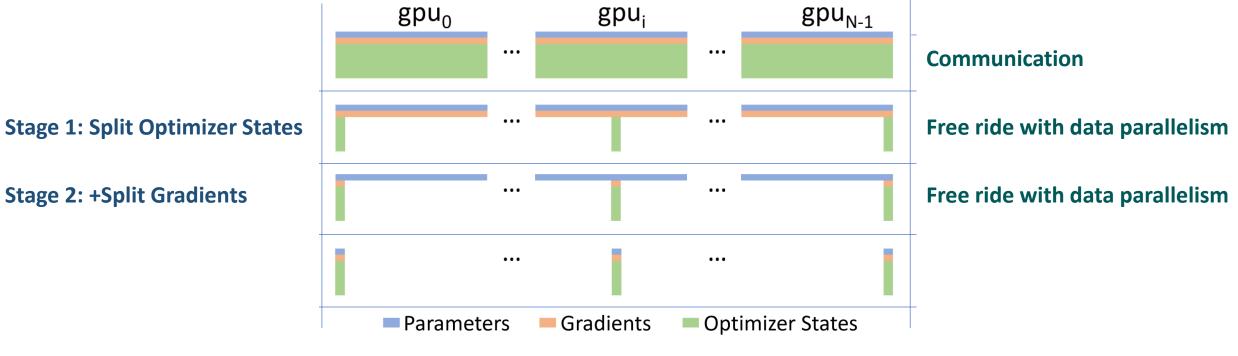


Figure 11: ZeRO Optimizer Stages [10]

ZeRO Optimizer: Split GPU memory consumption into multiple GPUs during data parallelism

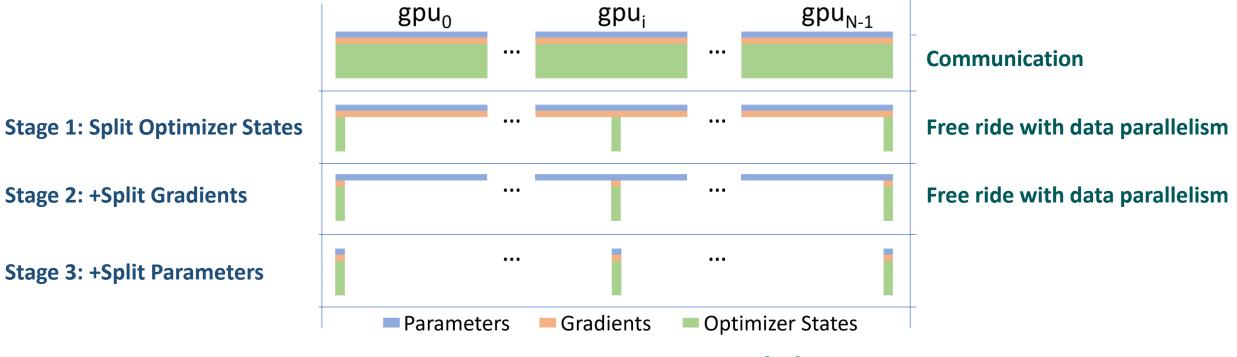
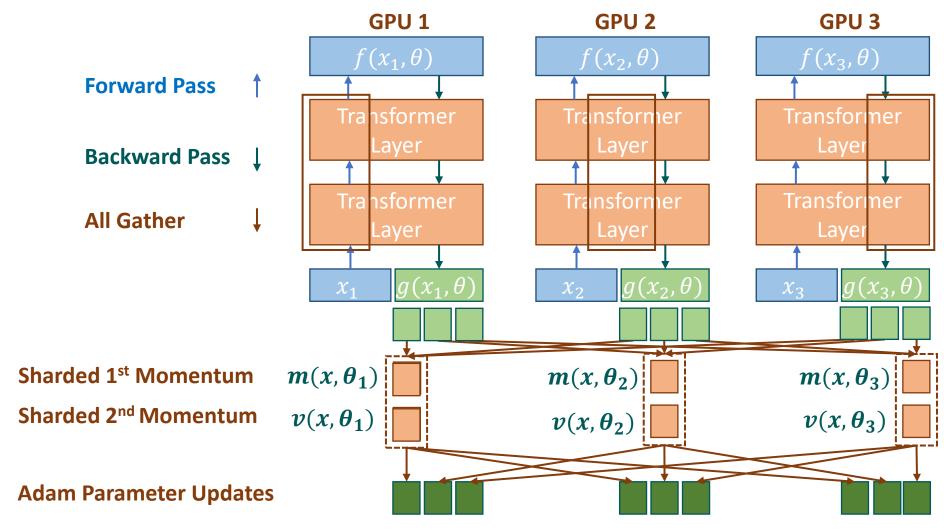


Figure 11: ZeRO Optimizer Stages [10]

Sharding parameters and passing them when needed



ZeRO Optimizer: Split GPU memory consumption into multiple GPUs during data parallelism

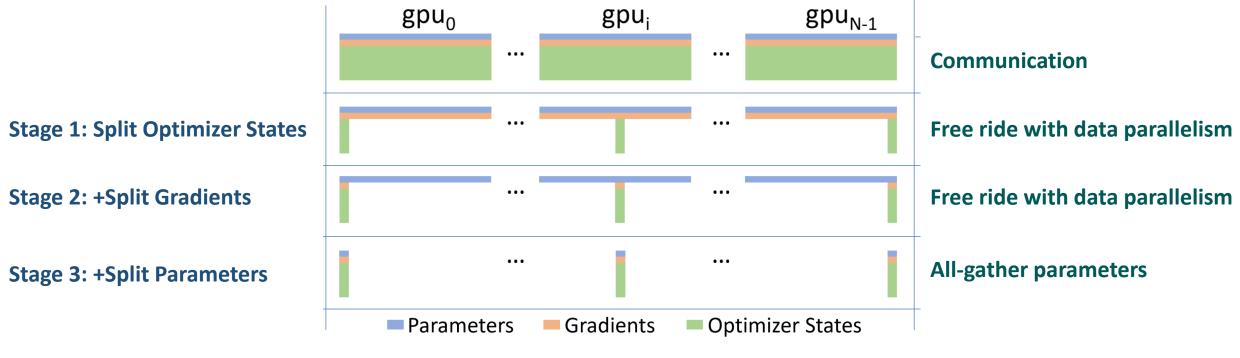


Figure 11: ZeRO Optimizer Stages [10]

Pros: Stage 1 and 2 free ride with data parallelism with huge GPU memory savings

Cons: Open-source support not as good

Notes: Stage 3 is different with tensor parallelism. It passes parameters when needed but still performs computations of the full layer/network in one GPU. It is data parallelism with GPU memory sharding

#### Final Remarks

Large Scale Parallel Pretraining is perhaps one of the most impact work right now for GenAl

- A combination of system, architecture, and modeling research (and perhaps hardware as well)
- Bitter lesson: compute drives innovation

Still a lot of room to grow:

- E.g. LLaMA 3's GPU utilization perhaps is still below 50% [https://ai.meta.com/blog/meta-llama-3/]
- Literally a billion dollar research question

More industry engineering than academic research

- Nature of the setup prevents academic research
- Universities are catching up though with various foundation and language model center efforts